

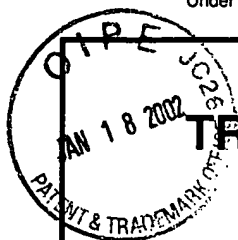
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PTO/SB/21 (08-00)

Approval for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/735,713
		Filing Date	Dec 12, 2000
		First Named Inventor	Walke, D. Wade
		Group Art Unit	1745
		Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	15	Attorney Docket Number	LEX-0108-USA

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): - Return Postcard - Part 2 of Notice to File Missing Parts - Fully Executed Declaration/Power of Attorney - Sequence Listing in CRF - Verified Statement Concerning Sequence Listing - Request for Corrected Filing Receipt (orig&copy) - Copy of Correct Filing Receipt - Copy of first page of Application - Copy of first page of Dec/POA
Remarks  		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Lexicon Genetics Incorporated		
	Lance K. Ishimoto Reg. 41,866		
Signature	<i>Lance K. Ishimoto by David W. Hibel</i> DAVID W. HIBEL REG. NO. 41,071		
Date	December 7, 2001		

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: <span style="border: 1px solid black; padding: 2px;">December 7, 2001</span>			
Typed or printed name	Michelle Klein		
Signature	<i>Michelle Klein</i>	Date	December 7, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Walke et al

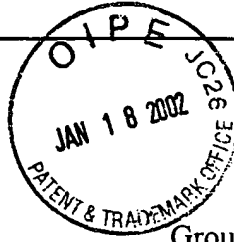
Application No.: 09/735,713

Filed: December 12, 2001

Group Art Unit: 1745

Title: Novel Human Proteases and  
Polynucleotides Encoding the Same

Atty Docket No.: LEX-0108-USA



**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF APPLICATION - FILING DATE GRANTED**

Commissioner for Patents  
Washington, D.C. 20231  
**Attention: Box Missing Parts**

Sir:

In response to the "Notice to File Missing Parts of Application - Filing Date Granted" mailed by the U.S. Patent and Trademark Office on October 10, 2001, Applicants submit the following documents to complete the filing requirements of the above-identified application:

1. Executed Declaration and Power of Attorney;
2. Return copy of Notice to File Missing Parts of Application - Filing Date Granted;
3. Sequence Listing in Computer Readable Format
4. Verified Statement concerning Sequence Listing

The U.S. Patent and Trademark Office is hereby authorized to charge the following fees to Lexicon Genetics Incorporated Deposit Account No. 50-0892:

- |   |          |
|---|----------|
| 1. Statutory basic filing fee   | \$370.00 |
| 2. Surcharge Fee for filing declaration on a date<br>later than the filing date of the application per<br>37 CFR 1.492(e) | \$65.00  |

**TOTAL \$435.00**

3. The Commissioner is hereby authorized to charge any additional fees associated with this paper communication or credit any overpayment to Deposit Account No. 50-0892.

The documents submitted herewith complete the filing of the above patent application. This form is being submitted in duplicate.

Respectfully submitted,

December 7, 2001

Date

*DAVID W. HOBBS*  
*Lance K. Ishimoto by David W. Hobbs Reg. No. 41,866*  
Lance K. Ishimoto      Reg. No. 41,866

LEXICON GENETICS INCORPORATED  
4000 Research Forest Drive  
The Woodlands, TX 77381  
Telephone No.: (281) 863-3333

Enclosures



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/735,713	12/12/2000	D. Wade Walke	LEX-0108-USA

24231  
LEXICON GENETICS INCORPORATED  
4000 RESEARCH FOREST DRIVE  
THE WOODLANDS, TX 77381

CONFIRMATION NO. 5588

## FORMALITIES LETTER



\*OC00000006883472\*

Date Mailed: 10/10/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/24/2002 BSAYASII 00000069 500892 09735713

FILED UNDER 37 CFR 1.53(b)

01 FC:201 370.00 CH  
02 FC:205 65.00 CH*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee for a small entity.*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 420.**
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
  - To Purchase PatentIn Software, call (703) 306-2600
  - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)
- 

*A copy of this notice **MUST** be returned with the reply.*



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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

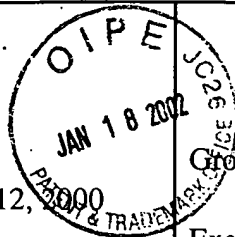
Applicant(s): Walke et al.

Application No.: 09/735,713

Filed: December 12, 1999

Title: Novel Human Proteases and  
Polynucleotides Encoding the Same

Attorney Docket No.: LEX-0108-USA



Group Art Unit: 1745

Examiner: To Be Assigned

**REQUEST FOR CORRECTED FILING RECEIPT**

Attn: Application Processing Division's Customer Correction Branch  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed is a copy of the Filing Receipt for the above-captioned nonprovisional application, as corrected herein by Applicants. The filing receipt incorrectly states the Priority Date. The original application correctly stated the priority date, as did the Declaration/Power of Attorney. A copy of each is enclosed. Please correct the Filing Receipt to read as follows under the "Domestic Priority data as claimed by applicant" heading:

**THIS APPLN CLAIMS BENEFIT OF 60/171,566 12/22/1999**

Please make the appropriate corrections and issue a corrected Filing Receipt.

Applicants believe that no fee is due with this Request for Correction of Filing Receipt because the incorrect priority date reflects a PTO error. However, please charge any required fee or credit any refund to Lexicon Genetics Incorporated Deposit Account No. 50-0892. A copy of this sheet is enclosed.

Respectfully submitted,

12/07/01  
Date

*Lance K. Ishimoto by David W. Hasler*  
Lance K. Ishimoto Reg. No. 41866  
DAVID W. HASLER  
REG. NO. 41,871

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## UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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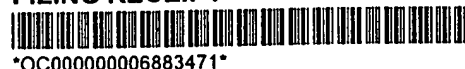
APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/735,713	12/12/2000	1745	0.00	LEX-0108- USA		3	3

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LEXICON GENETICS INCORPORATED  
4000 RESEARCH FOREST DRIVE  
THE WOODLANDS, TX 77381



CONFIRMATION NO. 5588

## FILING RECEIPT



\*OC000000006883471\*

Date Mailed: 10/10/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

## Applicant(s)

D. Wade Walke, Spring, TX;  
C. Alexander Turner JR., The Woodlands, TX;  
Alejandro Abuin, The Woodlands, TX;  
Glenn Friedrich, Houston, TX;  
Brian Zambrowicz, The Woodlands, TX;  
Arthur T. Sands, The Woodlands, TX;

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DEC 11 2001  
Per *AMK*

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/171,566 12/23/1999\*  
(\* ) Data inconsistent with PTO records.

12/22/1999

## Foreign Applications

If Required, Foreign Filing License Granted 10/10/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

POSTED  
10/16/01 at